



Schlumberger Systômas Test & Transactions Intellectual Property Department

50, avenue Jean Jaurés, HP 620-12 97542 Montrouge Cedex, Franci: Phone: 133 (0)1 47 46 40 98

(30 (0)) 47 46 69 00



## Schlumberger

Eric GERBAULT 6 nie de la Citadelle 94230 Cachan

A Montrouge, le 28 août 2001

Nos Réf. : 76,0529 US

"Device and method for making an integrated circuit secure."

Monsieur.

Le brevet ci-dessus mentionné dont vous êtes l'inventeur, a été déposé aux Etats-Unis.

Pour poursuivre la procédure, nous avons besoin de votre signature sur les documents ci-joint.

Merci de bien vouloir nous les retourner dans les meilleurs délais en utilisant l'enveloppe réponse ci-jointe,

Veuillez agréer, Monsieur, nos salutations distinguées.

MIle DIATTA Sophic

Assistante de Gérard den Braber

IP Manager Cards

Tel.: 01 47 46 48 98

Application Number

09/830, 378

17:33

Pg: 2/7

Attorney Docket Number

(if applicable).

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. ONB 0651-0032

U.G. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE.

Under the Pasarwork Reduction Act of 1995, no persons are required to respond to a collection of information unless a contains a valid OMB control number.

01245/11

DECLARATION FOR UTILITY OR	First Named Inventor	GERDAULT			
DESIGN PATENT APPLICATION	GOMPLETE IF KNOWN				
(37 CFR 1.63)	Application Number	09 /830, 378			
	Filing Date	April 29, 2001			
Submitted OR Submitted after initial	Group Art Unit				
with Initial Filling (surcharge (37 CFR 1.16 (e)) required)	Examiner Name				
As a below named inventor, I hereby declare that:					
My residence, mailing address, and citizenship are as stated by	elow next to my name.				
names are listed below) of the subject matter which is claimed.  Device and method for making an integrated aircul	nt secure.	THE COLUMN THE PROPERTY OF THE PARTY OF THE			
(Titte of the in the specification of which	<del>ivention)</del>				
ls attached hereto					
OR 04/ 29/ 2001 Was filed on (MM/DD/YYY)	as United States Appli	ication Number or PCT International			
	<del></del>				

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

i acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, meterial information which become available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

and was amended on (MM/DD/YYYY)

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, invertor's or plant breadur's rights certificate(s), or 365(s) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breader's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/ODYYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
98/ 13606	France	10/ 29/ 1998		

Artifitional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached horeto:

[Page 1 of 2]

Fundam Hotel Statement, This form is assimated to take 21 minutes to complete. Time will vary depending upon the reside of the individual case. Any comments on the amount of time, you are required to complete this form should be east to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT REND FRER OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Mailing Address

Additional inventors are being named on the

CIN

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PYO/SB/01 (03-01)
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United the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information United in Contains a valid OMB combail number.

DECLARATION — Utility or Design Patent Application

#### **Customer Number** Correspondence address below Direct all correspondence to: OR or Bar Code Label Name Address ZIP State City Fax Telephone ! hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are puntshable by fine or imprisonment, or both, under 16 U.S.C. 1001 and that such width false statements may jeoperalize the validity of the application or any patent leaved thereon. A petition has been filed for this unsigned inventor NAME OF SOLE OR FIRST INVENTOR: Family Name **GERBAULT** Eric. or Sumame (Tiret and middle (if sity)) inventor's Date Signature French France Cachan Citizenship Residence: City 50, Avenue Jean Jaurès - B.P. 620-12 Malling Address France 92542 Montrouge Cedex Country ZIP Chy A petition has been filed for this unsigned inventor NAME OF SECOND INVENTOR: Family Name Chen Name or Surname (first and middle [If my]) Inventor's Signature State Country Residence: City

State

ZIP

supplemental Additional Inventor(s) sheet(e) PTC/SB/02A attached horoto.

Country

Pg: 4/7

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PTO/58/61 (02-01)

Approved for use through 10/31/2002. OMS 6851-0035

U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Department Reduction Act of 1995, no persons are required to respond to a collection of information unless it display a valid OMS control number.

### POWER OF ATTORNEY OR **AUTHORIZATION OF AGENT**

Application Number	09/ 830, 378	
Filing Date	April 29, 2001	
First Named Inventor	Eric GERBAULT	
Title	Device and method for	
Group Art Unit		
Examiner Name		
Attorney Docket Number	01245/11.	_

OR	ers at C	Customer Numbe	er		]-		Nur	ce Custo nber Bar el here		
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		Name				Keolsti	HOH IN	umoer		
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Applicant	MUAGUE	or.								
Assignee Statemer	of reco	ord of the entire in 37 CFR 3.73(b)	interest. See ) is enclosed	37 CFR 3.7 1. (Form PT)	'1. 2/ <i>SB/</i>	96).				
		SIGNATURE	E of Applican	t or Assigne	e of R	ecord				
Name	Eric	GERBAULT	<del> </del>							
Signature										
Date						·			10000	- Uticle
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g: 5/

US Attorney Docket No. 01245/TL Assignee Docket No. 76.0529 US

### <u>ASSIGNMENT</u>

In consideration of the premises and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

- (1) Eric GERBAULT
- (2)
- (3) (5)

who have made a certain new and useful invention, hereby assign and transfer unto:

Schlumberger Systèmes, a corporation of France (Société Anonyme) having a business address at 50, avenue Jean Jaurès, 92120 Montrouge, France

its successors and assign (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

" DEVICE AND METHOD FOR MAKING AN INTEGRATED CIRCUIT SECURE."

- (a) for which an application for United States Letters Patent was filed on April 29, 2001 and identified by United States Serial No. 09 / 830, 378; or
- (b) for which an application for United States Letters Patent was executed on and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals or continuations thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

ducdoudt cc. as par x

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AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEEs full protection and title in and to the invention hereby assigned.

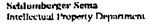
SIGNED on the dates indicated aside our signatures:

INVENTOR

SIGNATURE

DATE

Eric GERBAULT



50, avenue Jean Jaurès, BP 620-12 92542 Montrouge Cedex, France Phone: +03 (0) 1 46 00 48 98 Fax: +33 (0) 1 46 00 63 08

# **Schlumberger**Sema

#### **DECLARATION OF FACTS**

I, the undersigned, Sophic DIATTA, herewith declare the following:

I am the assistant of Gérard den Braber acting as IP Manager for the smart cards activities of the Schlumberger Group. Gérard den Braber in his capacity as IP Manager is responsible for the prosecution of all patent applications filed for the invention of Mr. Eric GERBAULT that has been assigned the Schlumberger invention file number 76.0529.

Gérard don Braber instructed me to prepare and send to Mr Eric GERBAULT a declaration of the inventor and an assignment for the US National Phase application of the international application PCT/FR99/02639, which received US Serial Number 09/830,378.

I checked whether Mr. Eric GERBAULT was still employed by the Schlumberger Group by consulting the personnel directory available on the Schlumberger intranet. Mr. Eric GERBAULT was not listed in the directory, so I concluded that he had left the Schlumberger Group.

On April 19, 2001, I sent a letter enclosing a declaration of the inventor and an assignment to the following address specified in our patent database system at that time.

23-25 avenue de Fontainebleau 94270 Le Kremlin Bicetre

The letter was returned to me by the Post Office as undeliverable.

On June 20, 2001, I sent a registered letter containing the declaration of the inventor and the assignment to the following address, which was given to me by the personnel department that was responsible for Mr. Eric GERBAULT in his last position within the Schlumberger Group.

6. Rue de la Citadelle 94230 Cachan

The personnel department confirmed that Mr. Eric GERBAULT had left the company. I did not receive any notification of delivery of this letter.

I consulted the white pages and the equivalent thereof on the Internet to find Mr. Eric GERBAULT's telephone number. There was no mention of any Mr. Eric GERBAULT under the address found in the patent database or under the one given to me by the personnel department.

Schlumburger Systèmes, Société anonyme au capital de 105230200FT Slègo social : 50 avenue Jean Jaurès, 92120 Montrouge, France RCS Nanterre 582 113 530 Kemis par . 33 140000366

On August 28, 2001, I sent a second registered letter containing the declaration of the inventor and the assignment to the address, which was given to me by the personnel department. I received a notification of delivery of this letter that was signed by Mr. Eric GERBAULT, but to date I have received no reply whatsoever from him.

Sophia Dia

Assistant of Gérard den Brabor

October 31, 2001



A Eric GERBAULT

Le 29 septembre 1998

De Anne DANG TRAN

Dossier: 76-0529

86/11/81

Objet: Mémoire descriptif d'invention

Nous avons bien reçu le 15 Juillet 1998 votre mémoire de description d'une invention intitulée :

### "Secure Code Execution"

et datée du : 15/07/1998.

En conformité avec le code la Propriété Intellectuelle, dont les articles pertinents L.611-7 et 9 et R. 611-1 à 10 sont reproduits au verso, nous classons votre invention dans la catégorie des inventions appartenant à l'employeur, inventions dites "de mission" telles que définies au paragraphe 1 de l'article L.611-7. Il apparaît en effet que vous avez réalisé votre invention dans le cadre de l'exécution d'un contrat de travail comportant une mission inventive.

Nous vous remercions de bien vouloir nous signifier votre accord en nous retournant la présente lettre, revêtue de la mention "lu et approuvé", suivie de votre signature, de la date, et de votre adresse personnelle.

S	ervice	dc	la	Pro	priété	Intel	lectuelle
~		~	••				

Mention manuscrite

"lu ct approuvé"

Signature

Date:

Nom de l'inventeur:

Adresse personnelle:

Let Approve

a M

22 October 1998

Eric GERBAYCT

6, Rue de la Gradelle

94230 CACHAN



# TRANSLATION OF DECLARATION DATED SEPTEMBER 29 SIGNED BY MR ERIC GERBAULT ON OCTOBER 27, 1998

To

Eric GERBAULT

September 29,1998

06/11/01

From

Anne DANG TRAN

File: 76-0529

Subject:

Invention disclosure

We have well received on the 15th of July 1998 your invention disclosure entitled:

"Secure Code Execution"

and dated: 07/15/1998.

In accordance with the French Intellectual Property Law, of which the relevant articles L.611-7 and 9 and R.611-1 to 10 are printed on the backside, we classify your invention in the category of inventions belonging to the employer, so-called "mission" inventions as defined in paragraph 1 of the article L.611-7. In effect, it is apparent that you have conceived your invention in the framework of the execution of a labor contract that comprises an inventive mission.

We thank you for notifying your approval by returning this letter completed with your mention "read and understood" followed by your signature, the date and your personnel address.

Intellectual Property Department

Handwritten mention "read and understood"

Signature

Date

Name of the inventor

Personnel address

I, GERARD DEN BRABER, DECLARE THAT I AM WELL ACQUAINTED WITH THE FRENCH AND ENGLISH LANGUAGE AND THAT TO THE BEST OF MY KNOWLEDGE THE TRANSLATION IS FAITHFULL.

Gérard den Braber, November 5, 2001. ax émis par : 33 146006308

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06/11/01

17:28

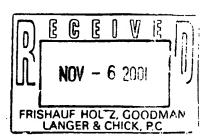
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Schlumberger Systô**rnes** 

Test & Transactions Intellectual Property Department

50, avenue Jean Jaurès, BP 620-12 92542 Montrougo Codex, France Phone: +33 (0)1 47 46 48 98 +33 (0)1 47 46 63 08

Schlumberger



**Eric GERBAULT** 6 rue de la Citadelle 94230 Cachan

A Montrouge, lc 20 juin 2001

Nos Réf.: 76.0529 US

"Device and method for making an integrated circuit secure."

Monsieur,

Le brevet ci-dessus montionné dont vous êtes l'inventeur, a été déposé aux Etats-Unis.

Pour poursuivre la procédure, nous avons besoin de votre signature sur les documents ci-joint.

Merci de bien vouloir nous les retourner dans les meilleurs délais en utilisant l'enveloppe réponse ci-jointe.

Veuillez agréer, Monsieur, nos salutations distinguées.

MIle DIATTA Sophie Assistante de Gérard den Braber

IP Manager Cards Tel.: 01 47 46 48 98

Schlumberger Systâmas, Société anonyme au capital de 106230200FF Slège social : 50 avenue Jean Jaurès, 92120 Montrougo, France RCS Nanterre 562 113 530

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U.S. Patent and Tradement Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMR control number. 01245 / Attorney Docket Number **DECLARATION FOR UTILITY OR** Eric GERBAULT First Named Inventor **DESIGN** 

PATENT APPLI	CATION	COMPLETE IF KNOWN						
(37 CFR 1.	•	Application Number	00 (83)	),378				
	Declaration	Filing Date	April 29, 200	)1				
Declaration Submitted OR	Submitted after initial Filing (surcharge	Group Art Unit						
with Initial Filing	(37 CFR 1.16 (e)) required)	Examiner Name						
As a below named inventor, I hen	eby declare that:							
My residence, mailing address, and citizenship are as stated below next to my name.								
I believe I am the original, first and	sole inventor (If only one	name is listed below) or a	n original, first and joint invention antil	or (If plural				
	Device and method for making an integrated circuit secure							
	(Title of "h	e invention)						
the specification of which	(1,20 0)	<b>y</b>						
ls attached hereto								
OR f		<del></del> 1						
was filled on (MM/DD/YYY)	04/29/200	O) an United State	as Application Number or PC1	International				
l								
Application Number 09/83	0,378 and was an	mended on (MM/DD/YYYY	7	(if applicable).				
I hereby state that I have reviewed	and understand the and	tents of the above identifie	ed specification, including the c	Islms, as				
amended by any amondment spec	flically reterred to above.	•						
I acknowledge the duty to disclose in-part applications, material inform PCT international filing date of the	iation which became ava	illadin berween treching d ication,	are of the pro- application and	UK TEMOTICI CI				
I hereby claim toroign priority benefits under 35 U.S.C. 119(a)-(d) or (t), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights contificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.								
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYY)	Priority Certified C	opy Attached?				
98/13606	France	10/29/1998		<u>H</u>				
) - , i	_							
	_ }							
Additional foreign application	numbers am listed on a	supplemental priority data	sheet PTO/SEV02R attached t	nereto:				

[Page 1 of 2]

Rurden Hour Statement; This form is estimated to take 21 minutes to complete. Time will very depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEFS OR COMPLIFTED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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U.S. Patent and Tradament Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION — Utility or Design Patent Application							
Direct all correspondence to: Cistomer Numor Bar Codo L		OR	Con	respondenco address below			
Name							
Address		State		ZIP			
	Telephone			Fax			
I hereby declare that all statements made herein of n are believed to bo true; and further that these stater made are punishable by fine or imprisonment, or bot validity of the application or any patent issued thereou	my own knowledge an ments were made wit th, under 18 U.S.C. 10						
NAME OF SOLE OR FIRST INVENTOR:	<u> </u>	has been filed for	or this un:	signed inventor			
Given Name Eric (first and middle [if any])		Family Name or Surname	GER	BAULT			
Inventor's Signature				Dato			
Realdence: City Cachan	State	Francountry	ce	France Citizenship			
50. Avenue Jea	an Jaures -	B.P. 620	-12				
City Montrouge Cedex	State	zip 9	2542	Country France			
NAME OF SECOND INVENTOR:	A pelilion ha	as been filed for	r this unsi	gnod Inventor			
Given Name (first and middle (if any))		Family Name or Sumame					
Inventor's Signature Cate							
Residence: City	State	Country		Citizenship			
Mailing Address							
City	State	ZIP		Country			

Additional inventors are being named on the

supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

11.20

PTO/SB/81 (02-01)

Approved for use invough 10/31/7002. OMB 0651-0035

U.3. Petent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduntion Act of 1995, no persons are remuired to respond to a collection of information unless it display a valid OMB control number.

Application Number

Filling Date

April 29, 2001

First Named Inventor

Title

Device and method...

Group Art Unit

Examiner Name

Attorney Docket Number

O1245/TL

i hereby appoint:			
Practitioners at 6  OR Practitioner(s) ne	Customer Number		Place Customer Number Bar Code Label here
	Name	Regis	stration Number
	r agent(s) to prosecute the		to and to transport all
business in the United	States Patent and Tradema	rk Office connected there	with.
	espondence addross for the ned Customer Number.	above-identified applicat	Place Customer Number Bar Code Label here
Firm or Individual Name			
Address			
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City		State	ZIp
Country			
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l am the:  Applicant/invent			
Assignee of rec	ord of the entire interest. Se r 37 CFR 3.73(b) is enclose	e 37 CFR 3.71. ed. (Form PTO/SB/96).	
	SIGNATURE of Applica	nt or Assignee of Record	
Name Eric	GERBAULT		
Signature			
Date			
NOTE: Signatures of all the inve		entire interest or their represer	ntative(s) are required. Submit multiple
forms if more than one signature	is required, see below". mis are submitted.		

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time—you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FFFS OR COMPLETED FORMS TO THIS ADUNESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

06/11/01 17:28

Pg: 5/7

US Attorney Docket No. 01245/TL
Assignce Docket No. 76.0529 US

### ASSIGNMENT

In consideration of the premises and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

(4)

- (1) Eric GERBAULT
- (2)
- (3)

who have made a certain new and useful invention, hereby assign and transfer unto:

Schlumberger Systèmes, a corporation of France (Société Anonyme) having a business address at 50, avenue Jean Jaurès, 92120 Montrouge, France

its successors and assign (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

- " DEVICE AND METHOD FOR MAKING AN INTEGRATED CIRCUIT SECURE."
- (a) for which an application for United States Letters Patent was filed on April 29, 2001 and identified by United States Serial No. 09 / 830, 378; or
- (b) for which an application for United States Letters Patent was executed on and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals or continuations thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

Fax emis par : 33 146006308

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06/11/01

17:28

1: 6/

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby assigned.

SIGNED on the dates indicated aside our signatures:

INVENTOR

SIGNATURE

DATE

Eric GERBAULT